

Stereoselective Aldol Reactions *via* Enolates of α -Acylphosphonate Diesters¹

Cynthia F. Longmire and Slayton A. Evans, Jr.*

The William Rand Kenan, Jr., Laboratories of Chemistry, CB 3290, The University of North Carolina, Chapel Hill, North Carolina 27599-3290, USA

In the reaction between the lithium enolate (2) of diethyl propionylphosphonate (1) and benzaldehyde, the *syn*-product (3s) is formed with high diastereoselectivity, as a result of structural rigidity caused by Li⁺ interactions with the phosphoryl oxygen.

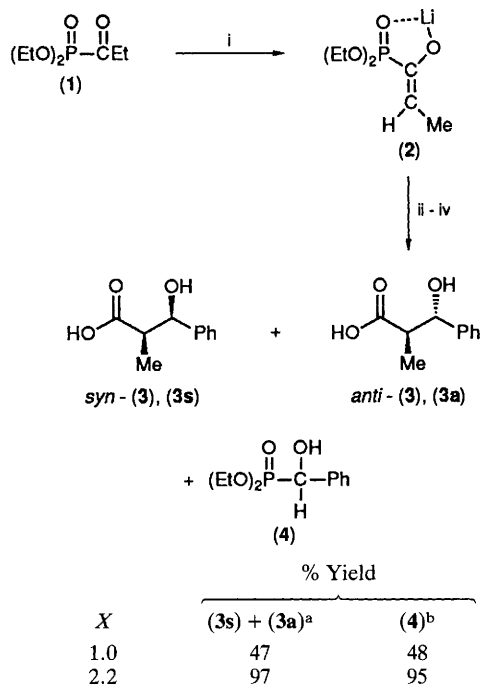
The phosphoryl bond ($\text{P}=\text{O}$) is highly polar and exhibits a high propensity for co-ordination to metal ions. We speculated that in an appropriate organophosphorus substrate (*i.e.*, α -acyl phosphonate diester)² intramolecular ligation between a lithium enolate and a proximal phosphoryl group would encourage both enolate as well as 'transition-state' structural rigidity, perhaps leading to significant diastereofacial selectivity in an aldol reaction. The synthetic scope, stereochemistry, and mechanism of such a reaction have not been previously described, although the use of an α -acylphosphonate in an 'aldol-type reaction' appeared as a footnote in a report by Sekine *et al.*³

Deprotonation of diethyl propionylphosphonate (1)[†] with lithium bis(trimethylsilyl)amide (LHMS) [-78°C , tetrahydrofuran solvent (THF)] affords exclusively the *Z*-enolate (2) (³¹P NMR δ 21.8 ppm, THF, -78°C) which is expected to be strongly chelated⁴ as a structurally rigid enolate. Reaction with excess of benzaldehyde, base-promoted hydrolysis (5 equiv. NaOH/H₂O, -78°C), and acidification (HCl/H₂O, pH < 2.5, $\sim 25^\circ\text{C}$) gave a 97% yield of the diastereoisomeric 3-hydroxy-2-methylbenzenepropanoic acids, *syn*- (3) and *anti*- (3a),^{5,6} with a >97% *syn* diastereoisomeric excess (Scheme 1, $X = 2.2$ mol equiv.). Diethyl α -hydroxybenzylphosphonate (4)^{7†} was also isolated from the reaction mixture, in a yield similar to that of (3). With one equivalent of benzaldehyde, the *syn* diastereoselectivity was unchanged, but only a 47% yield of the α -hydroxy acids (3) was obtained (Scheme 1, $X = 1.0$ mol equiv.), together with a similar yield of (4).

A tentative, but reasonable, mechanistic proposal which accounts for (i) the need for 2 equivalents of benzaldehyde to ensure that the yield of (3) is high, and (ii) the formation of the phosphonate (4) in comparable quantities to hydroxy acids (3) is illustrated in Scheme 2.

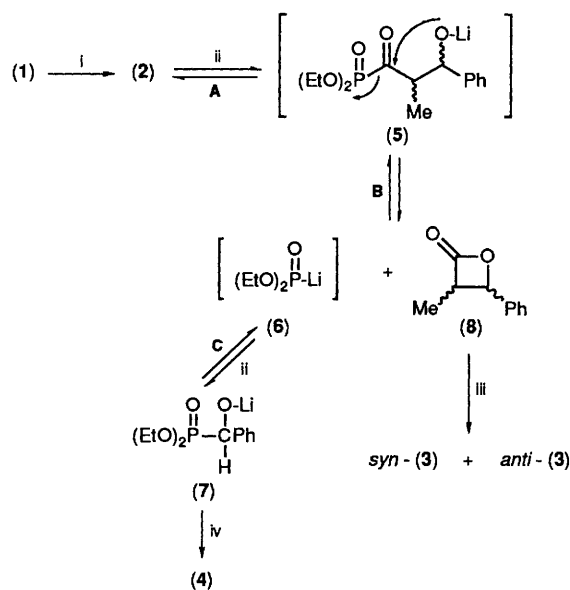
Rapid lactonization of aldol phosphonate intermediate (5) liberates diethylphosphonate anion (6) which condenses with benzaldehyde to afford oxyanion (7).⁸ When two equivalents of benzaldehyde are present, one is incorporated into the aldol product and one is 'sacrificed' by condensation with phosphonate anion (6). Basic hydrolysis (-78°C) leads to ring opening of the lactone (8)⁹ at the carbonyl centre.¹⁰ Finally, acidification and ether extraction lead to isolation of aldols (3) and α -hydroxy phosphonate (4) (Scheme 1).

Indirect evidence supporting the proposed intramolecular collapse of (5) was obtained by ¹³C NMR spectral observation of lactone (8) in the reaction mixture (-40°C). α -Acylphos-



^a Isolated yield; (3s):(3a) 98.8:1.2. ^b By ¹H NMR spectroscopy before isolation.

Scheme 1. Reagents and conditions: i, LHMS, -78°C ; ii, X equiv. PhCHO, -78°C ; iii, OH/H₂O; iv, H⁺, ether extraction.



Scheme 2. Reagents and conditions: i, LHMS, -78°C ; ii, PhCHO; iii, H⁺; iv, NaOH, then H⁺.

[†] (1): ¹³C NMR (C₆D₆) δ 16.36 [d, (CH₃CH₂O)₂, ³J_{CP} 5.6 Hz], 63.33 [d, (CH₃CH₂O)₂, ²J_{CP} 7.1 Hz], 211.9 [d, C(O), ¹J_{PC} 166.1 Hz], 36.87 [d, C(O)CH₂CH₃, ²J_{PC} 56.0 Hz], and 6.41 [d, C(O)CH₂CH₃, ³J_{PC} 4.2 Hz]; ³¹P NMR (THF) δ -1.4 (relative to 85% aqueous H₃PO₄). (4): ³¹P NMR (THF) δ 22.0; ¹³C NMR (C₆D₆) δ 16.42 [d, (CH₃CH₂O)₂, ³J_{PC} 5.5 Hz], 63.43 [d, CH₃CH₂O, ²J_{PC} 159.4 Hz], 62.75 [d, CH₃CH₂O, ²J_{PC} 7.5 Hz], 71.31 [d, CH(OH), ¹J_{PC} 159.4 Hz], 136.62 (s, *ipso*-C₆H₅), 127.96 (d, *o,m*-C₆H₅, J_{PC} 2.5 Hz), 127.02 (d, *o,m*-C₆H₅, J_{PC} 5.5 Hz), and 127.74 (d, *p*-C₆H₅, ⁵J_{PC} 3.0 Hz).

phonate intermediate (5) and phosphonate anion (6) are not observable by ^{31}P NMR even at -78°C implying that equilibria A, B, and C (Scheme 2) apparently lie far to the side of oxyanion (7) and lactone (8). Since the stereocentres are established in reaction sequence A, it is a reasonable assumption that they are secure during the intramolecular collapse of *syn*-(5) *en route* to *cis*- β -lactone (8) and ultimately to hydroxy acid, *syn*-(3), after hydrolysis.

The most interesting feature of this aldol reaction is that if the reaction mixture is warmed from -78 to 25°C and stirred for 1.5 h, a 1.0:1.2 ratio of *syn*-(3):*anti*-(3) is obtained after basic hydrolysis at -78°C and acidification. It seems apparent that at ambient temperature, the activation energy is low enough to encourage recombination of (6) with lactones (8) for rapid reversion to intermediate (5) which suffers a retroaldol reaction to enolate (2) and benzaldehyde. This suggestion provides a reasonable mechanism for equilibration of *cis*-(8) to the thermodynamically more stable *trans*-lactone (8).

We thank the National Science Foundation (CHE-8720270) for support of this research and the Department of Education for a graduate research fellowship to C. F. L.

Received, 9th March 1990; Com. 0/01069C

References

- 1 Preliminary results were presented at the 197th American Chemical Society National Meeting, Dallas, Texas, April 9–14, 1989; ORGN Abstr. No. 125.
- 2 S. Trippett and P. J. Whittle, *J. Chem. Soc., Perkin Trans. 1*, 1975, 1220; K. D. Berlin, D. M. Hellwege, and M. Nagabhushanam, *J. Org. Chem.*, 1965, **30**, 1265.
- 3 M. Sekine, A. Kume, M. Nakajima, and T. Hata, *Chem. Lett.*, 1981, 1087.
- 4 M. Sekine, M. Nakajima, A. Kume, and T. Hata, *Tetrahedron Lett.*, 1979, **46**, 4475.
- 5 D. A. Evans, J. V. Nelson, E. Vogel, and T. R. Taber, *J. Am. Chem. Soc.*, 1981, **103**, 3099.
- 6 For a definition of the *syn/anti* convention, see S. Masamune, A. Ali, D. L. Snitman, and D. S. Garvey, *Angew. Chem., Int. Ed. Engl.*, 1980, **19**, 557.
- 7 Z. H. Kudzin and A. Kotynski, *Synthesis*, 1980, 1028; M. S. Kharasch, R. A. Mosher, and I. S. Bengelsdorf, *J. Org. Chem.*, 1960, **25**, 1000.
- 8 M. Sekine, A. Kume, M. Nakajima, and T. Hata, *J. Org. Chem.*, 1980, **45**, 4162; V. S. Abramov, *Dokl. Akad. Nauk SSSR*, 1950, **73**, 487; R. L. McConnell and H. W. Coover, Jr., *J. Am. Chem. Soc.*, 1956, **78**, 4450.
- 9 A. Waldemar, J. Baeza, and J. Liu, *J. Am. Chem. Soc.*, 1972, **94**, 2000.
- 10 T. Fujisawa, T. Sato, and M. Takeuchi, *Chem. Lett.*, 1982, 71; R. E. Davis, L. Suba, P. Klimishin, and J. Carter, *J. Am. Chem. Soc.*, 1969, **91**, 104.